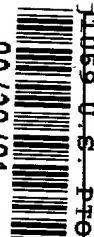# UTILITY PATENT APPLICATION TRANSMITTAL

**Attorney Docket:** 2000-2344

**First Inventor:** Gregory R. Ziegler

**Title:** Coating Foods and Pharmaceuticals with an Edible Polymer Using Carbon Dioxide

## APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
2. ☒ Applicant claims small entity status (37 CFR 1.27)
3. ☒ Specification            Total Pages __18__
   - Title page            01 page
   - Specification        11 pages
   - Claims (1-32)        05 pages
   - Abstract                01 page
4. ☒ Drawings (Figures 1-2)     Total Sheets _2_
5. ☒ Oath or Declaration        Total Sheets _2_
   - a. ☒ Newly executed (original or copy)
   - b. ☐ Copy from prior application (37 CFR 1.63(d)
     - i. ☐ **DELETION OF INVENTORS**
       Signed Statement attached deleting inventor(s) named in the prior application (37 CFR 1.63(d)(2) and 1.33(b))
6. ☐ Application Data Sheet
7. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program *(Appendix)*
8. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
   - a. ☐ Computer Readable Form (CFR)
   - b. Specification Sequence Listing on:
     - i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper
   - c. ☐ Statements verifying identify of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents)
10. ☐ 37 CFR 3.73(b) Statement    ☐ Power of Attorney
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
    - ☐ Copies of IDS citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attache form PTO/SB/3 or its equivalent
17. ☐ Other _____
    _____
    _____
17a. ☐ Other _____
    _____
    _____
17b. ☐ Other _____
    _____
    _____

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18. If a CONTINUING APPLICATION, *check appropriate box, and supply requisite information below and in a preliminary amendment:*

☐ Continuation ☐ Divisional.   ☐ Continuation-in-part (CIP) of prior application serial no. _____

Prior application information:     Examiner _____     Group Art Unit : _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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19. **CORRESPONDENCE ADDRESS**
*Direct all correspondence to:*     Anthony J. De Laurentis
2001 Jefferson Davis Highway
Arlington, Virginia 22202
Telephone: 703 415 1077     Facsimile: 703 415 1079

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SIGNATURE:

Date: __August 30, 2001__

Peter A. Borsari, Registration Number: 32,114

# FEE CALCULATION & TRANSMITTAL SHEET

**Attorney Docket:** 2000-2344

**First Inventor:** Gregory R. Ziegler

**Title:** Coating Foods and Pharmaceuticals with an Edible Polymer Using Carbon Dioxide

**TOTAL AMOUNT OF PAYMENT:** $ 543.00

## METHOD OF PAYMENT

☒ Payment Enclosed: ☒ Check # 4220

☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to:

  Deposit Account Number: 54-1014
  Deposit Account Name: Borsari & Associates

## FEE CALCULATION

### 1. BASIC FILING FEE

| FEE DESCRIPTION | FEE PAID |
|---|---|
| Utility filing fee | $355.00 |
| Design filing fee | |
| Plant filing fee | |
| Reissue filing fee | |
| Provisional filing fee | |
| **SUBTOTAL (1)** | **$355.00** |

### 2. EXTRA CLAIM FEES

| | Extra Claims | Fee | Fee Paid |
|---|---|---|---|
| Total Claims 32 - 20 = | 12 | x $ 9.00 = | $ 108.00 |
| Independent Claims 05 - 03 = | 02 | x $40.00 = | $ 80.00 |
| | | **SUBTOTAL (2)** | **$ 188.00** |
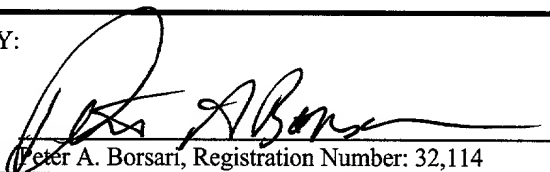
## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Fee Description | Fee Paid |
|---|---|
| ☐ Surcharge - late filing fee or oath | _____ |
| ☐ Surcharge - late provisional filing fee or cover sheet | _____ |
| ☐ Non-English specification | _____ |
| ☐ For filing a request for *ex parte* reexamination | _____ |
| ☐ Requesting publication of SIR prior to Examiner action | _____ |
| ☐ Extension for reply within first month | _____ |
| ☐ Extension for reply within second month | _____ |
| ☐ Extension for reply within third month | _____ |
| ☐ Extension for reply within fourth month | _____ |
| ☐ Notice of Appeal | _____ |
| ☐ Filing a brief in support of an appeal | _____ |
| ☐ Request for oral hearing | _____ |
| ☐ Petition to Revive - unavoidable | _____ |
| ☐ Petition to Revive - unintentional | _____ |
| ☐ Petitions to Commissioner | _____ |
| ☐ Processing fee under 37 CFR 1.17q | _____ |
| ☐ Submission of Information Disclosure Stmt | _____ |
| ☐ Assignment Recordation Fee | _____ |
| ☐ Request for Continued Examination | _____ |
| **SUBTOTAL (3)** | **$ 00.00** |

SUBMITTED BY:

SIGNATURE: Peter A. Borsari, Registration Number: 32,114

Date: August 30, 2001